Field Name	Form EIA-860 Schedule	Form EIA-860 Line Number	Description	Notes	Utility	Plant	Generator / Operable	Generator / Proposed	Generator / Retired	Wind / Operable	Wind / Retired	Solar / Operable	Solar / Retired	MultiFuel / Operable	MultiFuel / Proposed	MultiFuel / Retired	Ownership	EnviroAssoc / Boiler Generator	EnviroAssoc / Boiler Cooling	EnviroAssoc / Boller Particulate Matter	EnviroAssoc / Boller SO2	EnviroAssoc / Boiler Nox	EnviroAssoc / Boller Mercury	EnviroAssoc / Boiler Stack Flue	EnviroAssoc / Emissions Control Equipment
Utility ID			EIA-assigned identification number for the company that is responsible for the day-to-day operations of the generator		х	х	x	x	х	х	x	x	x	х	х	х	x	х	x	х	х	x	x	x	х
Utility Name	1	3	Legal name of the company that is responsible for the day-to-day operations of the generator		х	х	х	х	х	х	x	х	х	х	х	х	х	х	х	х	х	х	х	х	х
Street Address	1	3	Street address of the operator/owner		х																				
City	1	3	Name of the city in which operator/owner is located		х																				
State	1	3	State of the operator/owner		х																		<u> </u>	<u> </u>	
Zip	1	3	Zip code of the operator/owner		Х																				-
Owner?	1	4	s the reporting entity an owner of power plants reported on Schedule 2 of the form?		х																				L
Operator?	1	4	s the reporting entity an operator of power plants reported on Schedule 2 of the form?		х																				
Asset Manager?	1	4	s the reporting entity an asset manager of power plants reported on Schedule 2 of the form?		х																				
Other Reltionships with Plants Reported on Form?	1	4	Does the reporting entity have any other relationship to the power plants reported on Schedule 2 of the form?		х																				
Entity Type	1	5	Entity type of principle owner	C = Cooperative I = Investror-Owned Utility Q = Independent Power Producer M = Municipally-Owned Utility P = Political Subdivision F = Federally-Owned Utility S = State-Owned Utility IND = Industrial COM = Commercial	x																				
Plant Code	2	1	EIA-assigned plant code			х	х	Х	х	Х	x	х	Х	х	х	Х	х	Х	Х	х	Х	Х	х	х	х
Plant Name	2	1	Plant name			х	X	X	Х	Х	X	Х	х	х	X	х	X	х	Х	Х	Х	X	Х	Х	Х
Street Address City	2 2	2	Plant street address Plant city			x																	-	\vdash	-
State	2	2	Plant state			X	x	х	х	х	x	х	х	х	x	х	x							\vdash	
Zip	2	2	Plant zip code			×	_^_	<u> </u>	<u> </u>	_ ^	<u> </u>	<u> </u>	_^_	_^_	_^_	<u> </u>	_ ^								
County	2	2	Plant County			x	×	×	х	х	×	х	×	х	×	х									
Latitude	2	3	The latitude of a plant's coordinates			х																			
Longitude	2	3	The longitude of a plant's coordinates			х																			
NERC Region	2	4	NERC region in which the plant is located	See Reference Table 30		х																		<u> </u>	
Balancing Authority Code Balancing Authority Name	2	5	The plant's balancing authority The plant's balancing authority	5 character code A balancing authority manages supply, demand, and interchanges within an electrically defined area.		x																			
Name of Water Source	2	6	Name of water source associater with the plant			х																			
Primary Purpose (NAICS Code)	2	8a	North American Industry Classification System (NAICS) code that best describes the primary purpose of the reporting plant			x																			
Regulatory Status			Indicates whether the plant is regulated or non-regulated			×																			
Sector			Plant-level sector name, designated by the primary purpose, regulatory status and plant-level combined heat and power status	Commercial; Electric Utility; Industrial; Non-Combined Heat and Power Independent Power Producer (IPP); Combined Heat and Power (IPP CHP)		x	x	×	x	x	x	х	x	x	x	x									
Sector Name			Plant-level sector number, designated by the primary purpose, regulatory status and plant-level combined heal and power status	1 = Electric Utility 2 = Independent Power Producer, Non-Combined Heat and Power 3 = Independent Power Producer, Combined Heat and Power 4 = Commercial, Non-Combined Heat and Power 5 = Commercial, Combined Heat and Power 6 = Industrial, Non-Combined Heat and Power 7 = Industrial, Combined Heat and Power 7 = Industrial, Combined Heat and Power		x	x	x	x	x	x	x	x	x	x	x									

Net Metering (for facilities with solar or wind generation)	Net Metering (for facilities with solar or wind generation)	2	8b	Did this plant have a net metering agreement in effect during the reporting year? (Only displayed for facilities that report the sun or wind as an energy source)	Answers not required when North American Industry Classification System (NAICS) Code is 22	x													
FERC Cogeneration Status	FERC Cogeneration Status	2	9a	Indicates of whether the plant has FERC qualifying facility cogenerator status	Yes / No	x													
FERC Cogeneration Docket Number	FERC Cogeneration Docket Number	2	9b	The docket number relating to the FERC qualifying facility cogenerator status		x													
FERC Small Power Producer Status	FERC Small Power Producer Status	2	10a	Indicates of whether the plant has FERC qualifying facility small power producer status	Yes / No	x													
FERC Small Power Producer Docket Number	FERC Small Power Producer Docket Number	2	10b	The docket number relating to the FERC qualifying facility small power producer status		x													
FERC Exempt Wholesale Generator Status	FERC Exempt Wholesale Generator Status	2	11a	Indicates of whether the plant has FERC qualifying facility exempt wholesale generator status	Yes / No	х													
FERC Exempt Wholesale Generator Docket Number	FERC Exempt Wholesale Generator Docket Number	2	11b	The docket number relating to the FERC qualifying facility exempt wholesale generator status		x													
Ash Impoundment?	Ash Impoundment?	2	12a	Is there an ash impoundment (e.g. pond, reservoir) at the plant?		×													
Ash Impoundment Lined?	Ash Impoundment Lined?	2	12b	If there is an ash impoundment at the plant, is the impoundment lined?		×													
Ash Impoundment Status	Ash Impoundment Status	2	12c	impoundment and it the plant, the ash impoundment at the plant, the ash impoundment status as of December 31 of the reporting year	See Reference Table 1	x													
Transmission or Distribution System Owner	Transmission or Distribution System Owner	2	13	Name of the owner of the transmission or distribution system to which the plant is interconnected		×													
Transmission or Distribution System Owner ID	Transmission or Distribution System Owner ID	2	13	EIA-assigned code for owner of transmission/distribution system to which the plant is interconnected		x													
Transmission or Distribution System Owner State	Transmission or Distribution System Owner State	2	13	State location for owner of transmission/distribution system to which the plant is interconnected		x													
Grid Voltage (kV)	Grid Voltage (kV)	2	14	Plant's grid voltage at point of interconnection to transmission or distibution facilities	If the plant is interconnected to more than three transmission / distribution facilities, the three highest grid voltages are reported	x													
Grid Voltage 2 (kV)	Grid Voltage 2 (kV)	2	14	Plant's grid voltage at point of interconnection to transmission or distibution facilities	If the plant is interconnected to more than three transmission / distriubtion facilities, the three highest grid voltages are reported	x													
Grid Voltage 3 (kV)	Grid Voltage 3 (kV)	2	14	Plant's grid voltage at point of interconnection to transmission or distibution facilities	If the plant is interconnected to more than three transmission / distriubtion facilites, the three highest grid voltages are reported	x													
Natural Gas Pipeline Name	Natural Gas Pipeline Name	2	16	The name of the natural gas pipeline(s) that is connected to the facility		x													
Generator ID	Generator ID	ЗА	1	Generator identification number			х	х	х	х	х	х	х	х	х	х	x x		
Prime Mover	Prime Mover	3A	2	EIA assigned code for the prime mover (i.e. the engine, turbine, water wheel, or similar machine that drives an electric generator)	See Reference Table 2		x	х	х	x	х	x	x	x	х	х			
Unit Code	Unit Code	3A	3	code	Blank if the generator does not operate as a single unit with another generator		х	x	х										
Ownership	Ownership	3A	4	Identifies the ownership for each generator Indicates whether the unit has duct-burners for	See Reference Table 3		Х	х	х										
Duct Burners	Duct Burners	3A	5	supplementary firing of the turbine exhaust gas			х	х	х										
Can Bypass Heat Recovery Steam Generator?	Can Bypass Heat Recovery Steam Generator?	3A	6	Can this generator operate while bypassing the heat recovery steam generator?	Yes / No		х	х	х										
	RTO/ISO LMP Node Designation	3A	7a	The designation used to identify the price node in RTO/ISO Locational Marginal Price reports			х	х	х										
RTO/ISO Location Designation for Reporting Wholesale Sales Data to FERC	RTO/ISO Location Designation for Reporting Wholesale Sales Data to FERC	3A	7b	The designation used to report this specific location of the wholesale sales transactions to FERC for the Electric Quarterly Report			x	×	х										
Nameplate Capacity (MW)	Nameplate Capacity (MW)	3B, 3C	1a	The highest value on the generator nameplate in megawatts rounded to the nearest tenth			х	х	х	х	х	х	х						
Nameplate Power Factor	Nameplate Power Factor	3B, 3C	1b	The nameplate power factor of the generator			x	х	х										
Summer Capacity (MW)	Summer Capacity (MW)	3B, 3C	2	The net summer capacity			х	х	х	х	х	x	х						
Winter Capacity (MW)	Winter Capacity (MW)	3B, 3C	2	The net winter capacity			х	х	х	х	х	x	х						
Minimum Load (MW)	Minimum Load (MW)	3B	3	The minimum load at which the generator can operate at continuosuly			х		х										
Uprate or Derate Completed During Year	Uprate or Derate Completed During Year	3B	4a	Was an uprate or derate completed on this generator during the reporting year?	Yes / No		х		х										
Month Uprate or Derate Completed	Month Uprate or Derate Completed	3B	4b	The month when the uprate or derate was completed			х		х										
	Year Uprate or Derate Completed	3B	4b	The year when the uprate or derate was completed		-	х		Х									_	
Status	Status	3B, 3C	3B-5a, 3C-3	The operating status of the generator The original effective month on which the generator was	See Reference Table 4		x	х	х	х	х	x	х	х	х	х	x		
Effective Month	Effective Month	3C	4	scheduled to start operation				х											
Effective Year	Effective Year	3C	4	The original effective year on which the generator was scheduled to start operation				х											

Current Month	Current Month	3C	5	The most recently updated effective month on which the generator is scheduled to start operation			×								
Current Year	Current Year	3C	5	The most recently updated effective year on which the generator is scheduled to start operation			x								
Synchronized to Transmission Grid	Synchronized to Transmission Grid	3B	5b	Indicates whether standby generators (SB status) can be synchronized to the grid	Yes / No	x		х							
Operating Month	Operating Month	3B	6	Month the generator began commercial operation		x		х	x		x				
Operating Year	Operating Year	3B	6	Year the generator began commercial operation		x		х	х		х				
Retirement Month	Retirement Month	3B	7	Month the generator retired				х		х		х			
Retirement Year	Retirement Year	3B	7	Year the generator retired				х		х		х			
Planned Retirement Month	Planned Retirement Month	3B	8	Planned effective month of the scheduled retirement of the generator		x		x							
Planned Retirement Year	Planned Retirement Year	3B	8	Planned effective year of the scheduled retirement of the generator		x		х							
Associated with Combined Heat and Power System	Associated with Combined Heat and Power System	3B, 3C	3B-9, 3C-6	Indicates whether the generator is associated with a combined heat and power system	Yes / No	x	x	x							
Previously Canceled	Previously Canceled	3C	7	Indicates whether the generator was previously reported as indefinitely postponed or canceled	Yes / No		х								
Topping or Bottoming	Topping or Bottoming	3B	10	If the generator is associated with a combined heat and power system, indicates whether the generator is part of a topping cycle or a bottoming cycle	T = Topping B = Bottoming	x		х							
Energy Source 1	Energy Source 1	3B, 3C	3B-11, 3C-8	The code representing the most predominant type of energy that fuels the generator	See Reference Table 28	х	х	х							
Energy Source 2	Energy Source 2	3B, 3C	3B-13, 3C-9	The code representing the second most predominant type of energy that fuels the generator	See Reference Table 28	х	х	х							
Energy Source 3	Energy Source 3	3B, 3C	3B-14, 3C-10	The code representing the third most predominant type of energy that fuels the generator	See Reference Table 28	х	х	х							
Energy Source 4	Energy Source 4	3B, 3C	3B-14, 3C-10	The code representing the fourth most predominant type of energy that fuels the generator		х	х	х							
Energy Source 5	Energy Source 5	3B, 3C	3B-14, 3C-10	The code representing the fifth most predominant type of energy that fuels the generator	See Reference Table 28	x	х	х							
Energy Source 6	Energy Source 6	3B, 3C	3B-14, 3C-10	The code representing the sixth most predominant type of energy that fuels the generator	See Reference Table 28	x	х	х							
Startup Source 1	Startup Source 1	3B	12	The code representing the first, second, third or fourth start-up and flame stabilization energy source used by the combustion unit(s) associated with this generator	See Reference Table 28	x		х							
Startup Source 2	Startup Source 2	3B	12	The code representing the first, second, third or fourth start-up and flame stabilization energy source used by the combustion unit(s) associated with this generator	See Reference Table 28	x		х							
Startup Source 3	Startup Source 3	3B	12	The code representing the first, second, third or fourth start-up and flame stabilization energy source used by the combustion unit(s) associated with this generator	See Reference Table 28	×		х							
Startup Source 4	Startup Source 4	3B	12	The code representing the first, second, third or fourth start-up and flame stabilization energy source used by the combustion unit(s) associated with this generator	See Reference Table 28	×		х							
Solid Fuel Gasification System?	Solid Fuel Gasification System?	3B, 3C	3B-15, 3C-14	Indicates whether the generator is part of a solid fuel gasification system	Yes / No	х	х	х							
Carbon Capture Technology?	Carbon Capture Technology?	3B, 3C	3B-18, 3C-15	Indicates whether the generator uses carbon capture technology	Yes / No	х	х	х							

Content and Layout of the Annual Electric Generator Report (EIA-860) Data Files for 2013

Field Name	EnviroAssoc / Boiler Nox	EnviroAssoc / Boiler Mercury	EnviroAssoc / Boiler Stack Flue	EnviroAssoc / Emissions Control Equipment	EnviroEquip / Emission Standards & Strategies	EnviroEquip / Boiler Info & Design Parameters	EnviroEquip / Cooling	EnviroEquip / FGP	EnviroEquip / FGD	EnviroEquip / StackFlue
Utility ID	×	х	x	x	x	х	x	x	х	x
Utility Name	x	х	x	х	х	х	x	х	х	х
Street Address										
Street Address City State										
State										
Zip										
Owner?										
Operator?										
Asset Manager?										
Other Reltionships with Plants Reported on Form?										
Entity Type										
Plant Code	×	х	×	x	х	х	х	х	х	х
	×	X	X	x	x	x	X	X	x	x
Street Address										
City										
Zin					Х	Х	Х	х	Х	Х
County										
Latitude										
Longitude										
Plant Name Street Address City State Zip County Latitude Longitude NERC Region Balancing Authority Code										
Balancing Authority Name										
Name of Water Source										
Primary Purpose (NAICS Code)										
Regulatory Status										
Sector										
Sector Name										

Net Metering (for facilities with solar or wind generation)					
ERC Cogeneration Status					
FERC Cogeneration Docket Number					
FERC Small Power Producer Status					
FERC Small Power Producer Docket Number					
FERC Exempt Wholesale Generator Status					
FERC Exempt Wholesale Generator Docket Number					
Ash Impoundment?					
Ash Impoundment Lined?					
Ash Impoundment Status					
Fransmission or Distribution System Owner					
Fransmission or Distribution System Owner ID					
Fransmission or Distribution System Owner State					
Grid Voltage (kV)					
Grid Voltage 2 (kV)					
Grid Voltage 3 (kV)					
Natural Gas Pipeline Name					
Generator ID					
Prime Mover					
Jnit Code					
Ownership					
Ouct Burners					
Can Bypass Heat Recovery Steam Generator?					
RTO/ISO LMP Node Designation					
RTO/ISO Location Designation for Reporting Wholesale Sales Data to FERC					
Nameplate Capacity (MW)					
Nameplate Power Factor					
Summer Capacity (MW)					
Winter Capacity (MW)					
Minimum Load (MW)					
Jprate or Derate Completed During Year					
Month Uprate or Derate Completed					
Year Uprate or Derate Completed					
Status					
Effective Month					
Effective Year					

Current Month					
Current Year					
Synchronized to Transmission Grid					
Operating Month					
Operating Year					
Retirement Month					
Retirement Year					
Planned Retirement Month					
Planned Retirement Year					
Associated with Combined Heat and Power System					
Previously Canceled					
Topping or Bottoming					
Energy Source 1					
Energy Source 2					
Energy Source 3					
Energy Source 4					
Energy Source 5					
Energy Source 6					
Startup Source 1					
Startup Source 2					
Startup Source 3					
Startup Source 4					
Solid Fuel Gasification System?					
Carbon Capture Technology?					

Table 1: Ash Impoundment Codes

Ash Impoundment Status Code	Ash Impoundment Status Code Description
OP	Operating - in service (commercial operation)
SB	Standby/Backup - available for service but not normally used for this reporting period
ОА	Out of service – was not used for some or all of the reporting period but is expected to be returned to service in the next calendar year
os	Out of service – was not used for some or all of the reporting period and is NOT expected to be returned to service in the next calendar year

Table 2. Prime Mover Codes and Descriptions

Prime Mover Code	Prime Mover Description
BA	Energy Storage, Battery
CE	Energy Storage, Compressed Air
СР	Energy Storage, Concentrated Solar Power
FW	Energy Storage, Flywheel
PS	Energy Storage, Reversible Hydraulic Turbine (Pumped Storage)
ES	Energy Storage, Other (specify in SCHEDULE 7)
ST	Steam Turbine, including nuclear, geothermal and solar steam (does not include combined cycle)
GT	Combustion (Gas) Turbine (does not include the combustion turbine part of a combined cycle; see code CT, below)
IC	Internal Combustion Engine (diesel, piston, reciprocating)
CA	Combined Cycle Steam Part
СТ	Combined Cycle Combustion Turbine Part
CS	Combined Cycle Single Shaft (combustion turbine and steam turbine share a single generator)
СС	plants/generators that are in planning stage, for which specific generator
HA	Hydrokinetic, Axial Flow Turbine
HB	Hydrokinetic, Wave Buoy
НК	Hydrokinetic, Other (specify in SCHEDULE 7)
НҮ	Hydroelectric Turbine (includes turbines associated with delivery of water by pipeline)
ВТ	Turbines Used in a Binary Cycle (including those used for geothermal applications)
PV	Photovoltaic
WT	Wind Turbine, Onshore
WS	Wind Turbine, Offshore
FC	Fuel Cell
ОТ	Other (specify in SCHEDULE 7)

Table 3: Generator Ownership Codes and De

Ownership Code
S
J
W

scriptions

Ownership Code Description

Single ownership by respondent

Jointly owned with another entity

Wholly owned by an entity other than respondent

Table 4. Generator Status Codes and Descriptions

Ownership Code	Ownership Code Description
ОР	Operating - in service (commercial operation) and producing some electricity. Includes peaking units that are run on an as needed (intermittent or seasonal) basis.
SB	Standby/Backup - available for service but not normally used (has little or no generation during the year) for this reporting period.
os	Out of service – was not used for some or all of the reporting period and is NOT expected to be returned to service in the next calendar year.
OA	Out of service – was not used for some or all of the reporting period but is expected to be returned to service in the next calendar year.
RE	Retired - no longer in service and not expected to be returned to service.

Table 5. Wind Quality Class and Descriptions

Class	Annual Average Wind Speed	Extreme 50- Year Gust	Turbulence Intensity
Class 1 – High	40 /-	70 /-	A: 0.210
Wind	10 m/s	70 m/s	B: 0.180
Class 2 –	8.5 m/s	59.6 m/s	A: 0.226
Medium Wind	6.0 HVS	0.5 11//5	
			A: 0.240
Class 3 – Low Wind	7.5 m/s	52.5 m/s	B: 0.200
Class 4 – Very Low Wind	6 m/s	42 m/s	A: 0.270
			B: 0.220

Table 6. Proposed Generator Status Code

Proposed Generator Status Code
ΙP
TS
Р
L
Т
U
V
ОТ

s and Descriptions

Proposed Generator Status Code Descriptions

Planned new generator canceled, indefinitely postponed, or no longer in resource plan

Construction complete, but not yet in commercial operation (including low power testing of nuclear units)

Planned for installation but regulatory approvals not initiated; Not under construction

Regulatory approvals pending. Not under construction but site preparation could be underway

Regulatory approvals received. Not under construction but site preparation could be underway

Under construction, less than or equal to 50 percent complete (based on construction time to date of operation)

Under construction, more than 50 percent complete (based on construction time to date of operation)

Table 7. Equipment Type Code and Description

Equipment Type Code	Equipment Type Description	
JB	Jet bubbling reactor (wet) scrubber	
MA	Mechanically aided type (wet) scrubber	
PA	Packed type (wet) scrubber	
SP	Spray type (wet) scrubber	
TR	Tray type (wet) scrubber	
VE	Venturi type (wet) scrubber	
BS	Baghouse (fabric filter), shake and deflate	
BP	Baghouse (fabric filter), pulse	
BR	Baghouse (fabric filter), reverse air	
EC	Electrostatic precipitator, cold side, with flue gas conditioning	
EH	Electrostatic precipitator, hot side, with flue gas conditioning	
EK	Electrostatic precipitator, cold side, without flue gas conditioning	
EW	Electrostatic precipitator, hot side, without flue gas conditioning	
MC	Multiple cyclone	
SC	Single cyclone	
CD	Circulating dry scrubber	
SD	Spray dryer type / dry FGD / semi-dry FGD	
DSI	Dry sorbent (powder) injection type (DSI)	
ACI	Activated carbon injection system	
SN	Selective noncatalytic reduction	
SR	Selective catalytic reduction	
ОТ	Other equipment (Specify in SCHEDULE 7)	

Table 8. Equipment Status Codes and D

Status Code
CN
со
OP
os
ΟZ
PL
RE
SB
sc
TS

escriptions

Status Description
Cancelled (previously reported as "planned")
New unit under construction
Operating (in commercial service or out of service less than 365 days)
Out of service (365 days or longer)
Operated only during the ozone season (May through September)
Planned (expected to go into commercial service within 10 years)
Retired (no longer in service and not expected to be returned to service)
Standby (or inactive reserve); i.e., not normally used, but available for service
Cold Standby (Reserve); deactivated (usually requires 3 to 6 months to reactivate)
Operating under test conditions (not in commercial service)

Table 9. Boiler Standards Codes and Descrip

Standards Code
D
Da
Db
Dc
N

tions

Standards Description

Standards of Performance for fossil-fuel fired steam boilers for which construction began after August 17, 1971.

Standards of Performance for fossil-fuel fired steam boilers for which construction began after September 18, 1978

Standards of Performance for fossil-fuel fired steam boilers for which construction began after June 19, 1984.

Standards of Performance for small industrialcommercial-institutional steam generating units

Not covered under New Source Performance Standards.

Table 10. Sulfur Dioxide Unit of Measurement Codes

Sulfur Dioxide Unit of Measurement Code	Sulfur Dioxide Unit of Measurement Code Description
DC	Ambient air quality concentration of sulfur dioxide (parts per million)
DH	Pounds of sulfur dioxide emitted per hour
DL	Annual sulfur dioxide emission level less than a level in a previous year
DM	Parts per million of sulfur dioxide in stack gas
DP*	Pounds of sulfur dioxide per million Btu in fuel
SB	Pounds of sulfur per million Btu in fuel
SR	Percent sulfur removal efficiency (by weight)
SU	Percent sulfur content of fuel (by weight)
ОТ	Other (specify in SCHEDULE 7)

Table 11. Time Period Codes

Time Period Time Period Code Description Code NVNever to exceed FΜ 5 minutes SM 6 minutes FT 15 minutes ОН 1 hour WO 2 hours TH 3 hours EΗ 8 hours DΑ 24 hours WA 1 week МО 30 days ND 90 days YR Annual PS Periodic stack testing DT Defined by testing NS Not specified ОТ Other (specify in SCHEDULE 7)

Table 12. Sulfur Dioxide Compliance Strateg

Sulfur Dioxide Compliance Codes
CF
CU
IF
NC
ND
RP
SS
SU
TU
UC
UE
US
UP
WA
ОТ

Sulfur Dioxide Compliance Code Descriptions

Fluidized Bed Combustor

Control unit under Phase I extension plan

Install flue gas desulfurization unit or other SO2 control process (other than Phase I extension plan)

No change in historic operation of unit anticipated

Not determined at this time

Repower Unit

Switch to lower sulfur fuel

Designate Phase II unit(s) as substitution unit(s)

Transfer unit under Phase I extension plan

Decrease utilization - designate Phase II unit(s) as compensating unit(s)

Decrease utilization - rely on energy conservation and/or improved efficiency

Decrease utilization - designate sulfur-free generators to compensate

Decrease utilization - purchase power

Allocated allowances and purchase allowances

Table 13. Nitrogen Oxide Unit of Measurement Codes

Nitrogen Oxide Unit of Nitrogen Oxide Unit of Measurement Code Description **Measurement Code** Pounds of nitrogen oxides emitted per NH hour Annual nitrogen oxides emission level NLless than a level in a previous year Parts per million of nitrogen oxides in NM stack gas Ambient air quality concentration of NO nitrogen oxides (parts per million) Pounds of nitrogen oxides per million NP* Btu in fuel OT Other (specify in SCHEDULE 7)

Table 14. Nitrogen Oxide C

Nitrogen Oxide Compliance Codes
AA
BF
CF
FR
FU
H2O
LA
LN
NH3
NC
ND
OV
RP
SC
SN
SR
STM
UE
NA
ОТ
ВО
MS
NP
SE

ompliance Codes and Strategies

Nitrogen Oxide Compliance Strategies
Advanced overfire air
Biased firing (alternative burners)
Fluidized bed combustor
Flue gas recirculation
Fuel reburning
Water injection
Low excess air
Low NOx burner
Ammonia injection
No change in historic operation of unit anticipated
Not determined at this time
Overfire air
Repower unit
Slagging
Selective noncatalytic reduction
Selective catalytic reduction
Steam injection
Decrease utilization – rely on energy conservation and/or improved efficiency
Not applicable
Other (specify in SCHEDULE 7)
Burner out of service
Currently meeting standard
No plans to control
Seeking revision of government regulation

Table 15. Particulate Matter Unit of Measure

OP PB* PC PG PH UG OT	Particulate Matter Unit of Measurement Code
PC PG PH UG	OP
PG PH UG	PB*
PH UG	PC
UG	PG
	PH
ОТ	UG
	OT

ment Codes

Particulate Matter Unit of Measurement Code Description

Percent of opacity

Pounds of Particulate matter per million Btu in fuel

Grains of particulate matter per standard cubic foot of stack gas

Pounds of particulate matter per thousand pounds of stack gas

Pounds of particulate matter emitted per hour

Micrograms of particulate matter per cubic meter

Table 16. Mercury Compliance Codes and Descriptions

Strategy Type Code	Strategy Type Description
BS	Baghouse (fabric filter), shake and deflate
BP	Baghouse (fabric filter), pulse
BR	Baghouse (fabric filter), reverse air
CD	Circulating dry scrubber
SD	Spray dryer type / dry FGD / semi-dry FGD
DSI	Dry sorbent (powder) injection type
ACI	Activated carbon injection system
LIJ	Lime injection
EC	Electrostatic precipitator, cold side, with flue gas conditioning
EH	Electrostatic precipitator, hot side, with flue gas conditioning
EK	Electrostatic precipitator, cold side, without flue gas conditioning
EW	Electrostatic precipitator, hot side, without flue gas conditioning
JB	Jet bubbling reactor (wet) scrubber
MA	Mechanically aided type (wet) scrubber
PA	Packed type (wet) scrubber
SP	Spray type (wet) scrubber
TR	Tray type (wet) scrubber
VE	Venturi type (wet) scrubber
ОТ	Other (specify in SCHEDULE 7)
ND	Not determined at this time
NA	Not applicable

Table 17. Boiler Status Co

CN
CO
OP
os
PL
RE
SB
sc
TS

des and Descriptions

Boiler Status Description
Cancelled (previously reported as "planned")
New unit under construction
Operating (in commercial service or out of service less than 365 days)
Out of service (365 days or longer)
Planned (expected to go into commercial service within 10 years)
Retired (no longer in service and not expected to be returned to service)
Standby (or inactive reserve); i.e., not normally used, but available for service
Cold Standby (Reserve); deactivated (usually requires 3 to 6 months to reactivate)
Operating under test conditions (not in commercial service)

Table 18. Boiler Firing Type Code and Descr

Boiler Type Code
СВ
CY
DB
FB
SS
TF
VF
WF
ОТ

iption

Boiler Type Description
Cell Burner
Cyclone Firing
Duct Burner
Fluidized Bed Firing (Circulating Fluidized Bed, Bubbling Fluidized Bed)
Stoker (Spreader, Vibrating Gate, Slinger)
Tangential Firing / Concentric Firing / Corner Firing
Vertical Firing / Arch Firing
Wall Fired (Opposed Wall, Rear Wall, Front Wall Side Wall)

Table 19. Cooling System Status Codes and Descriptions

Cooling System Status Code	Cooling System Status Description
CN	Cancelled (previously reported as "planned")
СО	New unit under construction
OP	Operating (in commercial service or out of service less than 365 days)
os	Out of service (365 days or longer)
PL	Planned (expected to go into commercial service within 10 years)
RE	Retired (no longer in service and not expected to be returned to service)
SB	Standby (or inactive reserve); i.e., not normally used, but available for service)
sc	Cold Standby (Reserve); deactivated (usually requires 3 to 6 months to reactivate)
TS	Operating under test conditions (not in commercial service)

Table 20. Cooling System Type Codes and Descriptions

Cooling System Type Cooling System Type Description Code DC Dry (air) cooling system Hybrid: cooling pond(s) or canal(s) with **HRC** dry cooling Hybrid: forced draft cooling tower(s) with **HRF** dry cooling Hybrid: induced draft cooling tower(s) HRI with dry cooling OC Once through with cooling pond(s) ON Once through without cooling pond(s) Recirculating with cooling pond(s) or RC canal(s) Recirculating with forced draft cooling RF tower(s) Recirculating with induced draft cooling RI tower(s) Recirculating with natural draft cooling RN tower(s) HT Helper Tower ОТ Other (specify in SCHEDULE 7)

Table 21. Cooling Water Source Code and De

Cooling Water Source Code
SW
GW
PD
ОТ

scription

Cooling Water Source Description

Surface Water (ex: river, canal, bay)

Ground Water (ex: aquifer, well)

Plant Discharge Water (ex: wastewater treatment plant discharge)

Table 22. Cooling Water Type Codes and Description

Type of Cooling Water Code	Type of Cooling Water Description
BR	Brackish Water
FR	Fresh Water
BE	Reclaimed Water (ex: treated wastewater effluent)
SA	Saline Water
ОТ	Other (specify in SCHEDULE 7)

Table 23. Types of Towers

Tower Type Code	Tower Type Description		
MD	Mechanical draft, dry process		
MW	Mechanical draft, wet process		
ND	Natural draft, dry process		
NW	Natural draft, wet process		
WD	Combination wet and dry processes		
ОТ	Other (specify in SCHEDULE 7)		

Table 24. Flue Gas Particulate Matter Contro

Flue Gas Particulate Matter Control
BS
BP
BR
EC
EH
EK
EW
MC
SC
JB
MA
PA
SP
TR
VE
OT
<u> </u>

Flue Gas Particulate Matter Control Description

Baghouse (fabric filter), shake and deflate

Baghouse (fabric filter), pulse

Baghouse (fabric filter), reverse air

Electrostatic precipitator, cold side, with flue gas conditioning

Electrostatic precipitator, hot side, with flue gas conditioning

Electrostatic precipitator, cold side, without flue gas conditioning

Electrostatic precipitator, hot side, without flue gas conditioning

Multiple cyclone

Single cyclone

Jet bubbling reactor (wet) scrubber

Mechanically aided type (wet) scrubber

Packed type (wet) scrubber

Spray type (wet) scrubber

Tray type (wet) scrubber

Venturi type (wet) scrubber

Table 25. Sulfur Dioxide Control Codes and Descriptions

Sulfur Dioxide Control Codes	Sulfur Dioxide Control Description
JB	Jet bubbling reactor (wet) scrubber
MA	Mechanically aided type (wet) scrubber
PA	Packed type (wet) scrubber
SP	Spray type (wet) scrubber
TR	Tray type (wet) scrubber
VE	Venturi type (wet) scrubber
CD	Circulating dry scrubber
SD	Spray dryer type / dry FGD / semi-dry FGD
DSI	Dry sorbent (powder) injection type
ОТ	Other (specify in SCHEDULE 7)

Table 26. Sorbent Type Codes and Descriptions

Sorbent Type Code	Type of Sorbent		
AF	Alkaline fly ash		
AM	Ammonia		
CSH	Caustic Sodium hydroxide		
DB	Dibasic acid assisted		
Ц	Lime / slacked lime / hydrated lime		
LS	Limestone / dolomitic limestone / calcium carbonate		
МО	Magnesium oxide		
SA	Soda ash / Sodium bicarbonate / Sodium carbonate / Sodium formate / Soda liquid		
TR	Trona		
WT	Water / Treated wastewater (select only if no other sorbent is used)		
OT	Other (specify in SCHEDULE 7)		

Table 27. Stack Status Codes and Descriptio

Stack Status
Code
CN
СО
OP
OS
PL
RE
SB
SC
TS

Stack Status Code Description

Cancelled (previously reported as "planned")

New unit under construction

Operating (in commercial service or out of service within 365 days)

Out of service (365 days or longer)

Planned (on order or expected to go into commercial service within 10 years)

Retired (no longer in service and not expected to be returned to service)

Standby (or inactive reserve, i.e., not normally used, but available for service)

Cold Standby (Reserve); deactivated. Usually requires 3 to 6 months to reactivate

Operating under test conditions (not in commercial service).

Table 28. Energy Source Codes and Heat Content

Fuel	Energy Source	Unit	Higher Value	Heating Pango
Туре	Code	Label	Value	Range Wilvibiu
_ <u> </u>	F	ossil Fuel	<u>Lower</u> e	Unnar
	ANT	Tons	22	28
	BIT	Tons	20	29
	LIG	Tons	10	14.5
Coal	SGC	Mcf	0.2	0.3
20112	SUB	Tons	15	20
	WC	tons	6.5	16
	RC	tons	20	29
	DFO	barrels	5.5	6.2
	JF	barrels	5	6
	KER	barrels	5.6	6.1
Petroleu	PC	tons	24	30
m	PG	Mcf	2.5	2.75
Products	RFO	barrels	5.8	6.8
	SGP	Mcf	0.2	1.1
	WO	barrels	3	5.8
Natural	BFG	Mcf	0.07	0.12
Gas and	NG	Mcf	0.8	1.1
Other Gases	OG	Mcf	0.32	3.3
	Rer	newable Fu	uels	
Solid	AB	tons	7	18
Renewabl e Fuels	MSW	tons	9	12
	OBS	tons	8	25
	WDS	tons	7	18
Liquid	OBL	barrels	3.5	4
Renewabl	SLW	tons	10	16
е	BLQ	tons	10	14
(Biomass) Fuels	WDL	barrels	8	14
Gaseous Renewabl e	LFG	Mcf	0.3	0.6
(Biomass) Fuels	OBG	Mcf	0.36	1.6
,	SUN	N/A	N/A	N/A
	WND	N/A	N/A	N/A
All Other	GEO	N/A	N/A	N/A
Renewabl e Fuels	WAT	N/A	N/A	N/A
	Αl	Other Fu	els.	
	WAT	MWh	N/A	N/A
	NUC	N/A	N/A	N/A
All Other Energy Sources				

	PUR	N/A	N/A	N/A
	WH	N/A	N/A	N/A
All Other	TDF	Tons	16	32
Energy Sources	MWH	MWh	N/A	N/A
Jources	OTH	N/A	N/A	N/A

Energy Source Description

Fossil Fuels

Anthracite Coal

Bituminous Coal

ignite Coal

Coal-Derived Synthesis Gas

Subbituminous Coal

Waste/Other Coal (incl. anthracite culm, bituminous gob, fine coal, lignite waste, waste coal)

Refined Coal

Distillate Fuel Oil (including diesel, No. 1, No. 2, and No. 4 fuel oils)

Jet Fuel

Kerosene

Petroleum Coke

Gaseous Propane

Residual Fuel Oil (incl. Nos. 5 & 6 fuel oils, and bunker C fuel oil)

Synthesis Gas from Petroleum Coke

Waste/Other Oil (including crude oil, liquid butane, liquid propane, naphtha, oil waste, re-refined motor oil, sludge oil, tar oil, or other petroleum-based liquid wastes)

Blast Furnace Gas

Natural Gas

Other Gas (specify in SCHEDULE 7)

Renewable Fuels

Agricultural By-Products

Municipal Solid Waste

Other Biomass Solids (specify in SCHEDULE 7)

Wood/Wood Waste Solids (incl. paper pellets, railroad ties, utility poles, wood chips, bark, and wood waste solids)

Other Biomass Liquids (specify in SCHEDULE 7)

Sludge Waste

Black Liquor

Wood Waste Liquids excluding Black Liquor (including red liquor, sludge wood, spent sulfite liquor, and other wood-based liquids)

Landfill Gas

Other Biomass Gas (including digester gas, methane, and other biomass gases; specify in SCHEDULE 7)

Solar

Wind

Geothermal

Water at a Conventional

Hydroelectric Turbine, and water used in Wave Buoy Hydrokinetic Technology, Current Hydrokinetic Technology, and Tidal Hydrokinetic Technology

All Other Fuels

Pumping Energy for Reversible (Pumped Storage) Hydroelectric Turbine

Nuclear (including Uranium, Plutonium, and Thorium)

Purchased Steam

Waste heat not directly attributed to a fuel source (WH should only be reported when the fuel source is undetermined, and for combined cycle steam turbines that do not have supplemental firing.)

Tire-derived Fuels

Electricity used for energy storage

Specify in SCHEDULE 7

Table 29. Commonly Used North American Industry Classification System (NAICS) Codes

NAICS Code
4.4.4
111
112
113
114
115
211
2121
2122
2123
22
2212
22131
22132
22133
311
312
313
314
315
316
321
322
322122
32213
323
324
32411
325
32511
32512
325193
3252
325211
3253
325311
326
327
32731
331
3311
3313
332
333

334	
335	
336	
337	
339	
421	
441	
481	
482	
483	
484 485	
485 486	
197	
487 488	
400 4004	
4881	
4882	
4883	
4884	
491 100	
492	
493	
F 4 4	
511	
512	
515	
517	
518	
519	
521	
53	
541	
55	
561	
562	
562212	
562213	
0.4.4	
611	

621 622 623	
622	
623	
624	
711	
712	
713	
721	
722	
811	
811 812	
813	
814	
92 921 922	
921	
922	
92214	
928	

Description

Agriculture, Forestry, Fishing and Hunting

Crop Production

Animal Production and Aquaculture

Forestry and Logging

Fishing, Hunting and Trapping

Support Activities for Agriculture and Forestry

Mining, Quarrying, and Oil and Gas Extraction

Oil and Gas Extraction

Coal Mining

Metal Ore Mining

Nonmetallic Mineral Mining and Quarrying

Utilities

Electric Power Generation, Transmission and Distribution (other than 2212, 2213, 22131, 22132 or 22133)

Natural Gas Distribution

Water Supply and Irrigation Systems

Sewage Treatment Facilities

Steam and Air-Conditioning Supply

Manufacturing

Food Manufacturing

Beverage and Tobacco Product Manufacturing

Textile Mills (Fiber, Yarn, Thread, Fabric, and Textiles)

Textile Product Mills

Apparel Manufacturing

Leather and Allied Product Manufacturing

Wood Product Manufacturing

Paper Manufacturing (other than 322122 or 32213)

Newsprint Mills

Paperboard Mills

Printing and Related Support Activities

Petroleum and Coal Products Manufacturing (other than 32411)

Petroleum Refineries

Chemical Manufacturing (other than 32511, 32512, 325193, 3252 325211, 3253 or 325311)

Petrochemical Manufacturing

Industrial Gas Manufacturing

Ethyl Alcohol Manufacturing (including Ethanol)

Resin, Synthetic Rubber, and Artificial Synthetic Fibers and Filaments Manufacturing (other than 325211)

Plastics Material and Resin Manufacturing

Pesticide, Fertilizer, and Other Agricultural Chemical Manufacturing (other than 325311)

Nitrogenous Fertilizer Manufacturing

Plastics and Rubber Products Manufacturing

Nonmetallic Mineral Product Manufacturing (other than 32731)

Cement Manufacturing

Primary Metal Manufacturing (other than 3311 or 3313)

Iron and Steel Mills and Ferroalloy Manufacturing

Alumina and Aluminum Production and Processing

Fabricated Metal Product Manufacturing

Machinery Manufacturing

Computer and Electronic Product Manufacturing Electrical Equipment, Appliance, and Component Manufacturing Transportation Equipment Manufacturing Furniture and Related Product Manufacturing Miscellaneous Manufacturing Wholesale Trade Retail Trade Transportation and Warehousing Air Transportation Rail Transportation Water Transportation Truck Transportation Transit and Ground Passenger Transportation Pipeline Transportation Scenic and Sightseeing Transportation Support Activities for Transportation (other than 4881, 4882, 4883 or 4884) Support Activities for Air Transportation (including Airports) Support Activities for Rail Transportation (including Rail Stations) Support Activities for Water Transportation (including Marinas) Support Activities for Road Transportation Postal Service Couriers and Messengers Warehousing and Storage Information Publishing Industries (except Internet) Motion Picture and Sound Recording Industries Broadcasting (except Internet) Telecommunications Data Processing, Hosting, and Related Services Other Information Services Finance and Insurance Real Estate and Rental and Leasing (including Convention Centers and Office Buildings) Professional, Scientific, and Technical Services Management of Companies and Enterprises Administrative and Support and Waste Management and Remediation Services Administrative and Support Services Waste Management and Remediation Services (other than 562212 or 562213) Solid Waste Landfill Solid Waste Combustors and Incinerators **Educational Services** Health Care and Social Assistance

Ambulatory Health Care Services Hospitals Nursing and Residential Care Facilities Social Assistance Arts, Entertainment, and Recreation Performing Arts, Spectator Sports, and Related Industries Museums, Historical Sites, and Similar Institutions Amusement, Gambling, and Recreation Industries Accommodation and Food Services Accommodation Food Services and Drinking Places Other Services (except Public Administration) Repair and Maintenance Personal and Laundry Services Religious, Grantmaking, Civic, Professional, and Similar Organizations Private Households Public Administration (other than 921, 922, 92214 or 928)
Nursing and Residential Care Facilities Social Assistance Arts, Entertainment, and Recreation Performing Arts, Spectator Sports, and Related Industries Museums, Historical Sites, and Similar Institutions Amusement, Gambling, and Recreation Industries Accommodation and Food Services Accommodation Food Services and Drinking Places Other Services (except Public Administration) Repair and Maintenance Personal and Laundry Services Religious, Grantmaking, Civic, Professional, and Similar Organizations Private Households Public Administration (other than 921, 922, 92214 or 928)
Arts, Entertainment, and Recreation Performing Arts, Spectator Sports, and Related Industries Museums, Historical Sites, and Similar Institutions Amusement, Gambling, and Recreation Industries Accommodation and Food Services Accommodation Food Services and Drinking Places Other Services (except Public Administration) Repair and Maintenance Personal and Laundry Services Religious, Grantmaking, Civic, Professional, and Similar Organizations Private Households Public Administration (other than 921, 922, 92214 or 928)
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Performing Arts, Spectator Sports, and Related Industries Museums, Historical Sites, and Similar Institutions Amusement, Gambling, and Recreation Industries Accommodation and Food Services Accommodation Food Services and Drinking Places Other Services (except Public Administration) Repair and Maintenance Personal and Laundry Services Religious, Grantmaking, Civic, Professional, and Similar Organizations Private Households Public Administration (other than 921, 922, 92214 or 928)
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Museums, Historical Sites, and Similar Institutions Amusement, Gambling, and Recreation Industries Accommodation and Food Services Accommodation Food Services and Drinking Places Other Services (except Public Administration) Repair and Maintenance Personal and Laundry Services Religious, Grantmaking, Civic, Professional, and Similar Organizations Private Households Public Administration (other than 921, 922, 92214 or 928)
Amusement, Gambling, and Recreation Industries Accommodation and Food Services Accommodation Food Services and Drinking Places Other Services (except Public Administration) Repair and Maintenance Personal and Laundry Services Religious, Grantmaking, Civic, Professional, and Similar Organizations Private Households Public Administration (other than 921, 922, 92214 or 928)
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Personal and Laundry Services Religious, Grantmaking, Civic, Professional, and Similar Organizations Private Households Public Administration (other than 921, 922, 92214 or 928)
Religious, Grantmaking, Civic, Professional, and Similar Organizations Private Households Public Administration (other than 921, 922, 92214 or 928)
Private Households Public Administration (other than 921, 922, 92214 or 928)
Public Administration (other than 921, 922, 92214 or 928)
•
•
Executive, Legislative, and Other General Government Services
Justice, Public Order and Safety Activities (other than 92214)
Correctional Facilities
National Security and International Affairs (including Military Bases)

Table 30: NERC Region Type and Description Codes

Code	NERC Region Description	NERC Type
ASCC	Alaska Systems Coordinating Council	N
FRCC	Florida Reliability Coordinating Council	N
HICC	Hawaii Coordinating Council	N
MRO	Midwest Reliability Organization	N
MROC	MRO Canada	S
MROU	MRO United States	S
NPCC	Northeast Power Coordinating Council	N
NPCCI	NPCC ISO New England	S
NPCCM	NPCC Maritime	S
NPCCN	NPCC New York	S
NPCCO	NPCC Ontario	S
NPCCQ	NPCC Quebec	S
RFC	Reliability First Corporation	N
SERC	Southeastern Electric Reliability Council	N
SERCE	SERC Entergy	S
SERCG	SERC Gateway	S
SERCS	SERC Southern	S
SERCT	SERC TVA	S
SERCV	SERC VACAR	S
SPP	Southwest Power Pool	N
SPPN	Southwest Power Pool Northern	S
SPPS	Southwest Power Pool Southern	S
TRE	Texas Regional Entity	N
WECC	Western Systems Coordinating Council	N
WECCA	Western Systems Coordinating Council - AZNMSNV	S
WECCC	Western Systems Coordinating Council - California	S
WECCN	Western Systems Coordinating Council - NWPP	S
WECCR	Western Systems Coordinating Council - RMPA	S